



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Atsushi KIKUCHI, et al.

Application No. 09/821,220

Filed: March 29, 2001

For: SIGNAL PROCESSING DEVICE AND  
SIGNAL PROCESSING METHOD

Group Art Unit: 2124

Examiner: Do, Chat C.

CERTIFICATE OF MAILING

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June 29, 2004

*Suzarah A. Maguigad*  
Suzarah A. Maguigad

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AFTER THE  
MAILING OF A FIRST OFFICE ACTION PURSUANT TO 37 C.F.R. § 1.97(c)**

In accordance with the provisions of 37 C.F.R. §1.56, Applicants request that  
the references identified on the attached PTO/SB08/b form be made of official record in the  
above-identified application and considered by the Examiner.

07/08/2004 WASFAW1 00000084 07821220

01 FC:1806

180.00 DP

Adjustment dates 07/13/2004 BABRAHA1

07/08/2004 WASFAW1 00000084 07821220

01 FC:1806

180.00 DP

07/13/2004 BABRAHA1 00000114 09821220

01 FC:1806

180.00 DP

I. SUBMITTED NON-PATENT REFERENCES

1. Mochizuki, Yano, and Nishitani, "The Restricting Conditions for Filters for the MDCT Using a Mixture of a Plurality of Block Sizes," Bulletin of Science and Technology for Telecommunications CAS90-10 DSP90-14 pp. 55-60.
2. Hazu, Sugiyama, Iwadare, and Nishitani, "The Adaptive Transform Coding With Adaptive Block Lengths Using the MDCT (ATC-ABS)," The Papers for the Speeches in the 1999 Spring National Conference of the Society of Electronic Information and Telecommunication, A-197.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

II. RELEVANCE

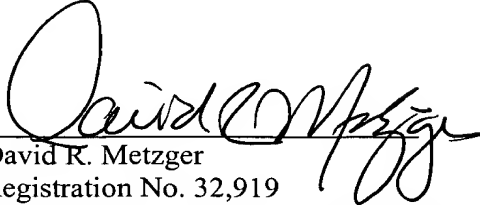
The information disclosure statement submitted herewith is being filed after the mailing date of a first Office action on the merits, pursuant to 37 C.F.R. §1.97(c). Therefore, Applicants enclose herewith credit card payment form PTO-2038 in the amount of \$180.00 for the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c).

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140.

Respectfully submitted,

Dated: June 29, 2004

By:

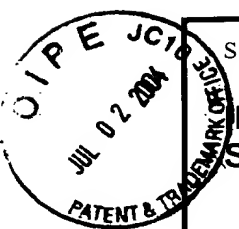
  
David R. Metzger  
Registration No. 32,919  
SONNENSCHN NATH & ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station, Sears Tower  
Chicago, Illinois 60606-1080  
(312) 876-8000

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/821,220
Filing Date	March 29, 2001
First Named Inventor	KIKUCHI, Atsushi
Group Art Unit	2124
Examiner Name	DO, Chat C.
Attorney Docket Number	9792909-4863

**OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Mochizuki, Yano, and Nishitani, "The Restricting Conditions for Filters for the MDCT Using a Mixture of a Plurality of Block Sizes," Bulletin of Science and Technology for Telecommunications CAS90-10 DSP90-14 pp. 55-60.	
		Hazu, Sugiyama, Iwaware, and Nishitani, "The Adaptive Transform Coding With Adaptive Block Lengths Using the MDCT (ATC-ABS)," The Papers for the Speeches in the 1999 Spring National Conference of the Society of Electronic Information and Telecommunication, A-197.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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